Docket No.: <u>OSI-0001C2</u> PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Paul N. MURET and Hui Sok MOON

Continuation Application of Serial No.: 997679,297

10/799,738

Filed:

March 15, 2004

Customer No.

34610

For:

SYSTEM AND METHOD FOR MONITORING AND ANALYZING INTERNET TRAFFIC

## **INFORMATION DISCLOSURE STATEMENT**

U.S. Patent and Trademark Office 2011 South Clark Place Customer Window, MAIL STOP NEW APPLICATION Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202

Sir:

Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. One copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the indicated date. Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered. This statement should not be construed as a representation that a search has been made, that information cited in the statement is considered to be and/or is material to patentability, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that was cited or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. §120. 1138 OG 37, 38 (May 19, 1992).

Continuation Application of Serial No. 09/679,297 Docket No. QSI-0001C2

$\boxtimes$	applio nation mailin	This Information Disclosure Statement is being filed (i) within three months of J.S. filing date of a U.S. application other than a CPA continued prosecution ration under §1.53(d) OR (ii) within three months of the date of entry of the hal stage as set forth in §1.491 in an international application OR (iii) before the half date of a first Office Action on the merits. No certification or fee is required. 37 §1.97(b).
	ments	This Information Disclosure Statement is being filed more than three months the U.S. filing date AND after the mailing date of the first Office Action on the s, but before the mailing date of a Final Rejection OR Notice of Allowance OR and that otherwise closes prosecution in the application. 37 C.F.R. §1.97(c).
		a. I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
		b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
		c. Attached is our check noin the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). Please credit or debit Deposit Account No. 16-0607 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
	credit	This Information Disclosure Statement is being filed after the mailing date of a Rejection OR Notice of Allowance OR an action that otherwise closes prosecution application, but on or before payment of the Issue Fee. Attached is our check no. in the amount of \$180.00 in payment of the fee under 37 C.F.R. §1.17(p). Please or debit Deposit Account No. 16-0607 as needed to ensure consideration of the sed information. Two duplicate copies of this paper are attached. 37 C.F.R. (d).
		a. I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
		b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).

- 4. Copies of U.S. 6,411,966, U.S. 6,430,623, U.S. 6,427,170, U.S. 6,449,657, U.S. 6,560,634, U.S. 6,249,813, U.S. 6,442,602, U.S. 6,256,671 and U.S. 6,332,158 are enclosed. Copies of the remaining references were cited by or submitted to the Office in parent application No. 09/679,297, filed October 4, 2000, which is relied upon for an earlier filing date under 35 U.S.C. §120. Thus, copies of these references are not attached. 37 C.F.R. §1.98(d).
- 5. To the extent necessary, a petition for an extension of time under 37 C.F.R. §1.136 is hereby made. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 16-0607 and please credit any excess fees to such deposit account.

Respectfully submitted, FLESHNER & KIM/LLP

René A. Vázquez, Esq.

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Date: MARCH 15, 2004

ATTY. DOCKET NO. CONTINUATION APPL. OF APPLN. SERIAL NO. QSI-0001C2 <del>09/079.297</del>10/799,7\$8 LIST OF PRIOR ART CITED BY APPLICANT(S) **APPLICANT** Paul N. MURET and Hui Sok MOON FILING DATE GROUP March 15, 2004 אייסיואווט' 2156 (PTO-1449) **U.S. PATENT DOCUMENTS EXAMINER'S** \*ISSUE **FILING** INITIALS \*PATENT NO. DATE \*INVENTOR NAME **CLASS SUBCLASS** DATE Boyd et al. 6,112,238 8/2000 /K.L./ 5,951,642 9/14/99 Onoe et al. 7/28/98 5,787,253 McCreery et al. 5.511.190 4/23/96 Sharma, et al. 395 600 01/20/95 10/7/97 5,675,510 Coffey, et al. 364 514 06/07/95 5,689,416 11/18/97 Shimizu, et al. 364 185 06/01/95 5,727,129 3/10/98 Barrett, et al. 395 12 06/04/96 5,732,218 3/24/98 Bland, et al. 200.54 395 01/02/97 5,796,952 8/18/98 Davis, et al. 200.54 03/21/97 395 3/2/99 5,878,223 Becker, et al. 395 200.53 05/07/97 6.112.238 8/29/00 709 224 Boyd, et al. 02/14/97 6,122,639 9/19/00 Babu, et al. 707 103 12/23/97 **U.S. PATENT APPLICATION PUBLICATIONS** \*PATENT APPLN. PUB. NO. \*PUB. DATE \*APPLICANT **CLASS SUBCLASS U.S. PATENT APPLICATIONS** \*APPLN. \*FILING LASS. NO. DATE \*INVENTOR **SUBCLASS** FOREIGN PATENT DOCUMENTS **EXAMINER' SINITIALS** PATENT NO. DATE COUNTRY **CLASS SUBCLASS** Yes OTHER ART (Including Author, Title, Date, Pertinent Pages, Publisher, Place of Publication, Etc.)

Home web page for Analog legille analyzer (www.analog.cx) (no date, not considered) "Urchin™ 2 Installation and Administration Guide for UNIX", Quantified Systems, Inc., pp. 1-1 /K.L./ through 7-2 (September 1, 1999). "Urchin™ ISP 1.4 for UNIX Installation and Administration Guide", Quantified Web Systems, Inc., /K.L./ pp. 1-1 through 6-5 (December 1, 1997). "Power to the People", Quantified Systems, Inc. (September 1, 1999). /K.L./ **EXAMINER DATE CONSIDERED** 05/03/2007 /Kuen Lu/ 06/06/2009

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

## ATTY, DOCKET NO. CONTINUATION APPL. OF APPLN. SERIAL NO. **69/679,297** 10/799, 738 QSI-0001C2 LIST OF PRIOR ART CITED BY APPLICANT(S) APPLICANT Paul N. MURET and Hui Sok MOON FILING DATE GROUP 2156 March 15, 2004 <del>Unknown</del> (PTO-1449) **U.S. PATENT DOCUMENTS EXAMINER'S** \*ISSUE **FILING** INITIALS \*PATENT NO. DATE \*INVENTOR NAME **CLASS SUBCLASS** DATE 6,411,966 6/2002 Kwan et al. 707 201 /K.L 6,430,623 8/2002 Alkhatib, Hasan S. 709 245 6,427,170 7/2002 Sitaraman et al. 709 226 6,449,657 9/2002 702 Stanbach et al. 245 6,560,634 5/2003 Broadhurst, Larry Joe 709 203 6,249,813 6/2001 Campion et al. 709 222 6,442,602 8/2002 Choudhry, Azkar Hussain 709 218 6,256,671 7/2001 Strentzsch et al. 709 227 6.332,158 12/2001 Risley et al. 709 219 U.S. PATENT APPLICATION PUBLICATIONS \*PATENT APPLN. PUB. NO. \*PUB. DATE \*APPLICANT **CLASS SUBCLASS U.S. PATENT APPLICATIONS** APPLN. \*FILING DATE **CLASS TÜBCLASS** \*INVENTOR **FOREIGN PATENT DOCUMENTS EXAMINER'** Translation PATENT NO. DATE S INITIALS COUNTRA **CLASS SUBCLASS** Yes No OTHER ART (Including Author, Title, Date, Pertinent Pages, Publisher, Place of Publication, Etc.)

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**EXAMINER** 

/Kuen Lu/

DATE CONSIDERED

06/06/2009

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